





# Waste Less, Recycle More Initiative

Waste and Recycling Infrastructure Fund

Resource Recovery Facility Expansion and Enhancement Grants Program

Round 4 Application Form

Closing date: 5pm Thursday 9 February 2017

Every effort has been made to ensure that the information in this document is accurate at the time of publication. However, as appropriate, readers should obtain independent advice before making any decision based on this information.

Published by:

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#### Report pollution and environmental incidents

Environment Line: 131 555 (NSW only) or info@environment.nsw.gov.au

See also www.environment.nsw.gov.au

OEH 2016/0722 November 2016

# Instructions: How to complete this form

- To complete this form you must have Adobe Acrobat Reader XI installed. You can download the latest version from the Adobe website.
- **Do not use Acrobat Pro** it will not save the data that you have entered onto the form. You must use Adobe Reader.
- Complete the eligibility checklist first to ensure you are eligible to apply prior to continuing.
- Answer all questions in the Application form. Incomplete or ineligible applications will not be considered. Where a question does not apply, write 'not applicable' or preferably briefly explain why.
- Submitted applications must be complete and include all the required attachments. (Refer to Application checklist – required forms section below).
- Submit your application by the closing date: 5pm Thursday 9 February 2017

# Application checklist

#### Are you eligible to apply?

#### Eligibility criteria

| Does your organisation currently own and operate a resource recovery facility that is licensed by the NSW Environment Protection Authority                         |  |
|--|--|
| Is your organisation one of the following types:   |  |
| council, regional organisation of councils or other local government controlled organisation   |  |
| non-government/not-for-profit organisation (must comply with the ATO's definition) with an established legal status  |  |
| non-government/not-for-profit organisation (must comply with the ATO's definition) without a legal status that are able to be administered by another organisation |  |
| private industry or partnership as defined under the Corporations Act  |  |
| Does your organisation have a history of compliance with NSW environment protection laws   |  |
| Does your organisation have a clear project proposal that will   |  |
| increase the amount of waste material recycled at your site  |  |
| AND which requires capital funding   |  |
| AND the equipment has not yet been purchased or ordered.   |  |

If you answered NO to any of these eligibility criteria, you are probably not eligible for this grant funding. If you still believe that the grant funding is relevant to your operation, please discuss with the EPA before preparing an application.

| Will your organisation, a project partner or related company transport or arrange transport of waste generated in NSW for recycling or disposal out of NSW at any time from the date you apply for this grant if there is, at the time of transport, a lawful recycling or disposal facility for that waste within NSW. |  |
|---|--|
| <b>Note:</b> If you have answered yes to the above, your organisation is not eligible to apply for funding. However, an organisation can apply for an exemption to this requirement if its operations are close to the border to any other Australian State or Territory (refer to the application guidelines).         |  |

#### Which forms do you need to complete?

All applicants must submit the following with the application form. All forms can be downloaded from the Trust's website. **Do not PDF** the forms, they must be submitted in the format that they are downloaded.

Part A Application form (PDF smart form)
Part B Application Budget (Excel spreadsheet)
Part C Project Plan (Word Document)
Part D Waste tonnage forecast (Excel spreadsheet)
Part E Financial Analysis (Excel spreadsheet)

If your total project value is over \$1 million, you must also submit:

Part F Cost benefit analysis (Excel spreadsheet)

## General information

- Read the application guidelines before you start to fill out this application form.
- Grants available: between \$100,000 and \$1,000,000 for eligible organisations. Please refer to the Eligibility section of the guidelines.
- **Note** that up to eight hours of business case support and review is available for applicants from the EPA. Please see the guidelines for more details on how to access this service.

# **Enquiries**

NSW Environmental Trust: advice on the funding application process

Telephone: (02) 8837 6093

Email: waste.recycling@environmentaltrust.nsw.gov.au

NSW Environment Protection Authority: advice on the funding program, eligibility and project technical issues

Telephone: (02) 9995 6919

Email: infrastructure.grants@epa.nsw.gov.au

Completed applications with all attachments must be submitted to the NSW Environmental Trust by 5pm Thursday 9 February 2017 via email to: waste.recycling@environmentaltrust.nsw.gov.au.

Any applications that are late or incomplete will not be considered.

# Part A: Application form

| 1 Application snaps   | not.                            |                 |                  |                   |               |
|---|---------------------------------|-----------------|------------------|-------------------|---------------|
|   |                                 |                 |                  |                   |               |
| Applicant organisation  |                                 |                 |                  |                   |               |
| Project title   |                                 |                 |                  |                   |               |
| Funding requested*  | \$                              |                 |                  |                   |               |
| Project description.  Please provide a 100 word, maximum, summary of your project. This summary will bused to promote your project on the Environmental Trust website, and media releases |                                 |                 |                  |                   |               |
|   |                                 |                 | * This field     | will auto populat | e in the form |
| 2 Project category.   | What is the project type?       |                 |                  |                   |               |
| Select only <b>ONE</b> box fro  Enhancement Expansion Enhancement as  | m the following choices. (See p | page 2 of the   | guidelines for o | category defini   | tions).       |
| 3 Project location –  | please specify where your proje | ect is located. |                  |                   |               |
| Name of site  |                                 |                 |                  |                   |               |
| Owner of site   |                                 |                 |                  |                   |               |
| Address   |                                 |                 |                  |                   |               |
| Suburb  |                                 | State           |                  | Post code         |               |

|                     | must be the local government and state electorate for the project site address. To help elinks below to confirm the correct council and state electorate are being selected. |            |
|---------------------|--|------------|
| Local government    | area What is my local council  |            |
| State electorate    | What is my state electorate  |            |
| Secondary areas.    | If more than one, please list the areas below.   |            |
| Local government    | area   |            |
| State electorate    |  |            |
|                     | ormation for your project. Decimal degrees can be determined by accessing Google maps project site or main office and right-clicking on what's here?                         | <b>3</b> , |
| Latitude (decimal d | legrees) Longitude (decimal degrees)   |            |
| Applicant details   |  |            |
|                     | st be submitted by the organisation which owns and operates the resource recovery s will not be accepted from third parties.   |            |
|                     | guidelines for the eligibility of your organisation to apply for a grant. Please ensure that you the eligibility criteria before submitting your application.                | ır         |
| 5 What is the le    | egal status of your organisation? Select ONE only.   |            |
| ☐ Local gove        | rnment organisation.   |            |
| Regional of         | rganisation of councils.   |            |
|                     | government controlled organisation.  |            |
|                     | fit organisation. Must comply with the ATO's definition.   |            |
|                     | siness/industry.   |            |
| Other (plea         | ase specify).  |            |
| 6 Organisation      | details: individual application (if alliance or partnership, skip and go to question 9).   |            |
| Organisation        |  |            |
| ABN                 | Registered for GST   |            |
| Postal Address      |  |            |
|                     |  |            |

Geographical reach.

# The nominated primary contact must be available to respond to questions and requests for information from the Trust or the EPA during the application assessment process (February to May 2017) and, if the application is successful, for the duration of the project. Title First name Surname Position Phone Mobile **Email** Alternate contact person for this project. Title First name Surname Position Phone Mobile **Email** If this application is not an alliance/partnership, go to question 13. 9 Organisation details: application by an alliance or partnership. Lead applicant ABN Registered for GST Postal Address Suburb State Post code Primary contact person for this project. 10 Note: Please ensure that there is a contact person available at all times during the application assessment period February – May 2017. Title First name Surname Position Phone Mobile

Email

Primary contact person for this project.

| 11 Alternate co                               | ntact person for                      | r this project.  |  |   |  |
|---|---------------------------------------|--|--|---|--|
| Title   | First name                            |  | Surname  |   |  |
| Position                                      | _                                     |  |  |   |  |
| Dhono   |                                       |  | /lobile  |   |  |
| Phone   |                                       | IV.  | Mobile   |   |  |
| Email   |                                       |  |  |   |  |
| 12 Contact deta                               | ails for partners                     | in the alliance. Detail                                      | s of lead organisa                             | tion to be completed at 9.  |  |
| contributions is requi<br>agreement will have | red at the time of<br>been reached be | accepting the grant, if the tween all partners in relations. | ne application is suc<br>ation to project mana | ion, roles, responsibilities and fund cessful. It is expected that an agement and submission of milestounding deed if you are successful. |  |
| Contact Person                                |                                       |  |  |   |  |
| Organisation                                  |                                       |  |  |   |  |
| ABN   |                                       | Email  |  |   |  |
| Postal Address                                |                                       |  |  |   |  |
| Suburb  |                                       | State  |  | Post code   |  |
| Phone   |                                       |  | Mobile   |   |  |
|   |                                       |  |  |   |  |
| Contact Person                                |                                       |  |  |   |  |
| Organisation                                  |                                       |  |  |   |  |
| ABN   |                                       | Email  |  |   |  |
| Postal Address                                |                                       |  |  |   |  |
| Suburb  |                                       | State  |  | Post code   |  |
| Phone   |                                       |  | Mobile   |   |  |
|   |                                       |  |  |   |  |
| Contact Person                                |                                       |  |  |   |  |
| Organisation                                  |                                       |  |  |   |  |
| ABN   |                                       | Email  |  |   |  |
|   |                                       |  |  |   |  |
| Postal Address                                |                                       |  |  |   |  |

Mobile

Phone

| Contact Person                                |   |                       |                    |                          |                         |                 |                                |   |
|---|---|-----------------------|--------------------|--------------------------|-------------------------|-----------------|--------------------------------|---|
| Organisation                                  |   |                       |                    |                          |                         |                 |                                |   |
| ABN   |   | Email                 |                    |                          |                         |                 |                                |   |
| Postal Address                                |   |                       |                    |                          |                         |                 |                                |   |
| Suburb  |   | State                 |                    |                          | Post                    | code            |                                |   |
| Phone   |   |                       | Мс                 | obile                    |                         |                 |                                |   |
|   |   |                       |                    |                          |                         |                 |                                |   |
|   | details. Complete only if a for you. See the guideline    |                       |                    |                          | g to adm                | inister this    | grant for you                  |   |
| Contact person                                |   |                       |                    |                          |                         |                 |                                |   |
| Organisation                                  |   |                       |                    |                          |                         |                 |                                |   |
| ABN   | Registered for GST  |                       |                    |                          | ST .                    |                 |                                |   |
| Postal Address                                |   |                       |                    |                          |                         |                 |                                |   |
| Suburb  |   | S                     | state              |                          |                         | Post cod        | le                             |   |
| Phone   |   | N                     | /lobile            |                          |                         |                 |                                |   |
| Email   |   |                       |                    |                          |                         |                 |                                |   |
|   |   |                       |                    |                          |                         |                 |                                |   |
| 14 Insurance                                  |   |                       |                    |                          |                         |                 |                                |   |
| It is a condition of you million, workers com | ur grant that you have adec<br>pensation and volunteer in | quate ins<br>surance. | urance c<br>Please | over inclu<br>provide de | ding pub<br>etails of y | lic liability i | nsurance of \$10<br>nce below. | ) |
| Company                                       | Company   |                       |                    |                          |                         |                 |                                |   |
| Policy numbers                                |   |                       |                    |                          |                         |                 |                                |   |
| Coverage                                      |   |                       |                    |                          |                         |                 |                                |   |
| Currency (expiry date                         | 2)  |                       |                    |                          |                         |                 |                                |   |

| , | 15 | Please provide a one page overview of your proposed project. |
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| 16 | Has your organisation received Environmental Trust, OEH or EPA funding within the last three years? |  |    |  |  |
|----|---|--|----|--|--|
|    | Yes   |  | No | If yes, please provide reference numbers and/or project title. |  |
|    |   |  |    |  |  |
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# 17 Time frame: When you propose to complete each milestone. They must equal those dates in your budget spreadsheet.

| Milestone Date  |  | Description   |  |
|-----------------|--|---|--|
| Milestone 1     |  | As a guide Milestone 1 should be in mid-2017.           |  |
| Milestone 2 Fac |  | Facility design and detailed costing.                   |  |
| Milestone 3     |  | Facility construction/installation.                     |  |
| Milestone 4     |  | Facility commissioned and estimated project completion. |  |
| Milestone 5     |  | Facility operational and six months of data recorded.   |  |

# Milestone budget: Amount you are seeking from the Trust (exclusive of GST). This must equal the total amounts in the budget spreadsheet.

| Milestone              | Grant amount | Description   |  |
|------------------------|--------------|---|--|
| Milestone 1            |              | Upon signing of the funding deed a payment of up to 50 per cent will be paid. The initial payment percentage will be determined by the Trust with individual applicants.      |  |
| Milestone 2            |              |   |  |
| Milestone 3            |              | At least 40 per cent split between these milestones.  |  |
| Milestone 4            |              |   |  |
| Milestone 5            |              | This must be at least 10 per cent of the total funding requested.   |  |
| Total amount requested |              | Amounts must equal the amounts in Part B: Application Budget. The funding requested cannot exceed 50 per cent of eligible project costs. See guidelines for more information. |  |

#### Criterion 1: Additional amount diverted

19 Please provide the following information in the D Waste tonnage forecast form, clearly showing the additional tonnage over 10 financial years.

This section requires completion of Part D of the application submission.

Note: You must use the down-loadable form and submit as the Excel spreadsheet. Do not PDF.

### Criterion 2: Market analysis

**Note:** There are two markets, one for supply of waste and one for demand for the recovered resource. The information in these questions should align with the forecast waste tonnages and types you have provided Part D of the application.

## 20 Existing contractual arrangements for supply of waste to the facility.

|                                     | Supplier 1 | Supplier 2 |
|-------------------------------------|------------|------------|
| Supplier name                       |            |            |
| Estimated annual tonnage            |            |            |
| Date when agreement ends            |            |            |
| What are the provisions for renewal |            |            |
|                                     |            |            |
|                                     | Supplier 3 | Supplier 4 |
| Supplier name                       |            |            |
| Estimated annual tonnage            |            |            |
| Date when agreement ends            |            |            |
| What are the provisions for renewal |            |            |
|                                     |            |            |
|                                     | Supplier 5 | Supplier 6 |
| Supplier name                       |            |            |
| Estimated annual tonnage            |            |            |
| Date when agreement ends            |            |            |
| What are the provisions for renewal |            |            |

# 21 Expected supply of the **additional** source material?

|   | Supplier 1 | Supplier 2 |
|---|------------|------------|
| Supplier name   |            |            |
| Estimated annual tonnage  |            |            |
| Where does their waste currently go?                                    |            |            |
| Why do you expect this additional supply and how likely is it to occur? |            |            |
| What gate fee do you expect them to pay?                                |            |            |
| Why will they use your new service at this rate?                        |            |            |
|   | Supplier 3 | Supplier 4 |
| Supplier name   |            |            |
| Estimated annual tonnage  |            |            |
| Where does their waste currently go?                                    |            |            |
| Why do you expect this additional supply and how likely is it to occur? |            |            |
| What gate fee do you expect them to pay?                                |            |            |
| Why will they use your new service at this rate?                        |            |            |
|   | Supplier 5 | Supplier 6 |
| Supplier name   |            |            |
| Estimated annual tonnage  |            |            |
| Where does their waste currently go?                                    |            |            |
| Why do you expect this additional supply and how likely is it to occur? |            |            |
| What gate fee do you expect them to pay?                                |            |            |
| Why will they use your new service at this rate?                        |            |            |

| 22 | Describe the competition that currently exists in the market for the source material that you propose to accept as part of the expansion or enhancement. |
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## Please provide information on the expected demand for your end products?

Provide letters of intent or other verification of this information.

| Market/buyer | Your product (one per line) | Product/supplier being replaced | Tonnes per annum | Price per tonne | Why will they buy your product at this rate | Local or export market |
|--------------|-----------------------------|---------------------------------|------------------|-----------------|---|------------------------|
|              |                             |                                 |                  |                 |   |                        |
|              |                             |                                 |                  |                 |   |                        |
|              |                             |                                 |                  |                 |   |                        |
|              |                             |                                 |                  |                 |   |                        |
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|              |                             |                                 |                  |                 |   |                        |
|              |                             |                                 |                  |                 |   |                        |
|              |                             |                                 |                  |                 |   |                        |
|              |                             |                                 |                  |                 |   |                        |

| Product description   | Tonnage<br>per annum | Product application                 | Status of regulatory compliance |
|---|----------------------|-------------------------------------|---------------------------------|
|   |                      |                                     |                                 |
|   |                      |                                     |                                 |
|   |                      |                                     |                                 |
|   |                      |                                     |                                 |
|   |                      |                                     |                                 |
| 25 Considering the last three year  | rs. trend prices     | for the target recovered resource a | are?                            |
| <ul> <li>☐ Increasing</li> <li>☐ Decreasing</li> <li>☐ Stable</li> <li>☐ Fluctuating</li> <li>☐ Recovered resources of this</li> <li>Other (please specify).</li> </ul> | type have not        | been marketed for three years or lo | nger                            |
| Criterion 3: Technical analysis   |                      |                                     |                                 |
| 26 Describe the process of your o   | urrent facility.     |                                     |                                 |
| Include at the minimum:   |                      |                                     |                                 |
| <ul> <li>Recycling performance (type and</li> <li>Types of materials processed an</li> <li>Process improvements that have</li> </ul>                                    | d efficiency?        | d as priorities?                    |                                 |
|   |                      | ·                                   |                                 |

| • | What is the selected technology/process?  |
|---|---|
| • | How mature is the technology/process?   |
| • | Are there any reference sites where the technology/process is currently in use? |
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Describe, based on the market analysis done, what infrastructure is needed to address the identified

27

gap.

# 28 What analysis has been undertaken of the infrastructure and/or processes to make your selection? Include at the minimum How suitable is the technology/process to the targeted waste and waste stream? How suitable is the technology/process for the facility? Operational performance – including any WHS considerations?

# Criterion 4: Planning and environment protection licensing and compliance

| 29 What approvals and EPA lic                                  | ences do you currently hold for this facility?  |
|--|---|
| Development consent details                                    |   |
| Environment Protection Licence details                         |   |
| Details of other relevant approvals                            |   |
| 30 If planning consent is require likely timeframes for consen | ed for this project, what planning approvals are needed and what are the tt?  |
|  |   |
| 31 Describe what consultation Department of Planning and       | has been done with your planning consent authority (e.g. council or<br>Environment on the proposed expansion or enhancement). |
|  |   |

| 32   |  |   | ent Environment<br>es for licence an  |   | n Licence is needed<br>3?  | l, what inv                           | estigations are   |
|------|--|---|---|---|--|---------------------------------------|---|
|      |  |   |   |   |  |                                       |   |
|      |  |   |   |   |  |                                       |   |
| 33   | Describe what expanded or el   |   | nas been done v   | vith the EF   | A if your current fac  | cility is lice                        | ensed and is to be  |
|      |  |   |   |   |  |                                       |   |
|      |  |   |   |   |  |                                       |   |
|      |  |   |   |   |  |                                       |   |
|      | me of EPA<br>cer consulted   |   |   |   | Date of EPA consulta   | ation                                 |   |
|      |  |   |   |   |  |                                       |   |
| Lice | nsing and compli   | ance history u  | ınder NSW Envi  | ronment F   | rotection Laws   |                                       |   |
| Lice | In the last five up notices, pre under NSW En the Environme                | years have yo<br>evention notice<br>nvironment Pro<br>nt Operations         | ou, or any alliand<br>es, licence suspe<br>otection laws ind  | ce/partner<br>ensions, lic<br>cluding Na<br>97, Protec              | organisation receive<br>cence revocations, o   | conviction dlife Act                  | 1974, Protection of                                       |
|      | In the last five up notices, pre under NSW En the Environme                | years have yo<br>evention notice<br>nvironment Pro<br>nt Operations         | ou, or any alliand<br>es, licence suspe<br>otection laws ind<br>(POEO) Act 19                       | ce/partner<br>ensions, lic<br>cluding Na<br>97, Protec              | organisation receive<br>cence revocations, c<br>tional Parks and Wil                           | conviction dlife Act                  | s or prosecution<br>1974, Protection of                   |
| 34   | In the last five up notices, pre under NSW En the Environme Regulation 201 | years have yo evention notice nvironment Pront Operations 14 and the Nat No | ou, or any alliand<br>es, licence suspe<br>otection laws ind<br>(POEO) Act 19<br>tive Vegetation of | ce/partner<br>ensions, lic<br>cluding Na<br>97, Protec<br>Act 2003? | organisation received<br>cence revocations, of<br>tional Parks and Wil<br>tion of the Environm | conviction<br>Idlife Act<br>nent Oper | s or prosecution<br>1974, Protection of<br>ations (Waste) |
| 34   | In the last five up notices, pre under NSW En the Environme Regulation 201 | years have yo evention notice nvironment Pront Operations 14 and the Nat No | ou, or any alliand<br>es, licence suspe<br>otection laws ind<br>(POEO) Act 19<br>tive Vegetation of | ce/partner<br>ensions, lic<br>cluding Na<br>97, Protec<br>Act 2003? | organisation received<br>cence revocations, of<br>tional Parks and Wil<br>tion of the Environm | conviction<br>Idlife Act<br>nent Oper | s or prosecution<br>1974, Protection of<br>ations (Waste) |
| 34   | In the last five up notices, preunder NSW Enthe Environme Regulation 201   | years have yo evention notice nvironment Pront Operations 14 and the Nat No | ou, or any alliand<br>es, licence suspe<br>otection laws ind<br>(POEO) Act 19<br>tive Vegetation of | ce/partner<br>ensions, lic<br>cluding Na<br>97, Protec<br>Act 2003? | organisation received<br>cence revocations, of<br>tional Parks and Wil<br>tion of the Environm | conviction<br>Idlife Act<br>nent Oper | s or prosecution<br>1974, Protection of<br>ations (Waste) |
| 34   | In the last five up notices, preunder NSW Enthe Environme Regulation 201   | years have yo evention notice nvironment Pront Operations 14 and the Nat No | ou, or any alliand<br>es, licence suspe<br>otection laws ind<br>(POEO) Act 19<br>tive Vegetation of | ce/partner<br>ensions, lic<br>cluding Na<br>97, Protec<br>Act 2003? | organisation received<br>cence revocations, of<br>tional Parks and Wil<br>tion of the Environm | conviction<br>Idlife Act<br>nent Oper | s or prosecution<br>1974, Protection of<br>ations (Waste) |
| 34   | In the last five up notices, preunder NSW Enthe Environme Regulation 201   | years have yo evention notice nvironment Pront Operations 14 and the Nat No | ou, or any alliand<br>es, licence suspe<br>otection laws ind<br>(POEO) Act 19<br>tive Vegetation of | ce/partner<br>ensions, lic<br>cluding Na<br>97, Protec<br>Act 2003? | organisation received<br>cence revocations, of<br>tional Parks and Wil<br>tion of the Environm | conviction<br>Idlife Act<br>nent Oper | s or prosecution<br>1974, Protection of<br>ations (Waste) |
| 34   | In the last five up notices, preunder NSW Enthe Environme Regulation 201   | years have yo evention notice nvironment Pront Operations 14 and the Nat No | ou, or any alliand<br>es, licence suspe<br>otection laws ind<br>(POEO) Act 19<br>tive Vegetation of | ce/partner<br>ensions, lic<br>cluding Na<br>97, Protec<br>Act 2003? | organisation received<br>cence revocations, of<br>tional Parks and Wil<br>tion of the Environm | conviction<br>Idlife Act<br>nent Oper | s or prosecution<br>1974, Protection of<br>ations (Waste) |

| undermining the requirement to pay ai Act?   | ny waste contributions required under section 88 of the POEO   |
|--|--|
| ☐ Yes ☐ No If yes, please ar   | nswer ALL questions below.   |
| The dates of the contraventions  |  |
| The sections or clauses contravened  |  |
| The person or persons, including the full names of any relevant directors or managers, who contravened the sections or clauses |  |
| The nature of the contraventions   |  |
| The waste activities being undertaken at the time of the contravention   |  |
| The amount in tonnes and types of waste (including by waste classification) involved   |  |
|  |  |
| Criterion 5: Project impacts   |  |
| You will need to be able to demonstrate thes   | e outcomes as the project progresses.  |
| 36 Local community benefit.  |  |
| Include additional jobs both during construct  | omically and socially benefit the local community it is located in. ion and ongoing, new or expanded waste services, procurement ions, advertising, technical, financial, transport etc. |
|  |  |
| 27 Prooder NCW benefit   |  |
|  | t economically and socially the broader NSW community. Include ongoing, new or expanded waste services, procurement of goods ertising, technical, financial, transport etc.              |
|  |  |

Have you contravened any provision of the POEO Act with the result of avoiding, minimising or

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| 38 | It is expected that the project will implement best practice environmental sustainability in design and implementation. Please detail how you intend to do this. |
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39 The following project measures will be used to estimate the expected impacts of your project.

Only complete those that are relevant to your project. Write N/A (not applicable) for the project measures that are not relevant to your project.

| Category  | Code | Project measure                        | Definition  | Unit of measurement        | Projection |
|---|------|--|---|----------------------------|------------|
| uc  | SC3  | Consultants/contract ors engaged using | The number of individual contractors and/or consultants that are funded using Trust grant funds.  | Number of individuals      |            |
| ipati   |      | Trust Funds                            | Total hours contributed by those contractors/consultants that are funded directly from the Trust grant.   | Combined hours contributed |            |
| partic  | SC4  | People employed                        | The number of non- Trust funded staff that contribute to the project, for example council staff or staff employed by other organisations. Non Trust funded staff primarily refers to in-  | Number of individuals      |            |
| and   | 304  | NOT using Trust funds (Mandatory)      | kind contributions from paid staff.  The total combined hours of non-Trust funded staff that were contributed to the project.   | Combined hours contributed |            |
| cation  | 905  | Volunteers involved                    | This project measure excludes those already identified as part of project measure SC3 and SC4.  | Number of individuals      |            |
| educ  |      | Volunteers involved                    | The total combined hours contributed to the project by volunteers.  | Combined hours contributed |            |
| Stakeholder and community education and participation | SC10 | Training sessions conducted            | This can include:  training sessions seminars workshops conferences  It excludes field days, festivals, cultural events, and meetings that are primarily aimed at awareness raising. These are to be captured under SC13  If your project records against this project measure, you must also report on SC12. | Number                     |            |
| ehold   | SC12 | People trained                         | The number of people trained or who attended activities associated with project measures SC10.  | Number                     |            |
| Stake   | SC13 | Awareness raising events               | Activities that would contribute towards this project measure include:     Attending and providing a display for festivals, shows, field days, celebrations, cultural event, meetings and conferences   | Number                     |            |

| Category | Code | Project measure   | Definition   | Unit of measurement | Projection |
|----------|------|---|--|---------------------|------------|
|          |      |   | Partial sponsorship of workshops, general field days, festivals, conferences, cultural events, meetings and conferences  It excludes training workshops, study tours, cultural events, meetings and conferences that are primarily aimed at training or skill enhancement which are to be captured under SC10.   |                     |            |
|          | SC14 | Attendees at awareness raising events                           | Provide the total number of attendees at awareness raising events conducted by the project through activities associated with SC13.  | Number              |            |
|          | SC15 | Educational products/resources developed                        | Items to include:  Brochures, unaccredited training materials, posters, fact sheets  Updates/modifications of existing material to ensure it is regionally relevant  Newsletters produced on a regular basis  Posters that advertise events and activities resulting from the grant  Web content published to as part of the project activities  Conference posters that display the results of research or scientific investigations or studies  Brief summary documents from scientific data collection, investigation reports and regional planning documents.  Note: Please count each resource only once, do not provide data for example on number of brochures printed. | Number              |            |
|          | SC16 | Individuals potentially<br>reached<br>( <b>Mandatory</b> )      | Project reach refers to the potential for people to hear about your project and its outputs. It can often be difficult to determine project reach, so an estimate based on the expected audience for your communication strategy should be used (e.g. estimates of local radio station listener numbers s, newspaper distribution numbers etc.) where you intend to publish/promote your work.   | Number              |            |
|          | EC1  | Funding spent with<br>NSW Suppliers (\$)<br>(Mandatory)         | This measure is related to the amount of grant funding spent within NSW with NSW businesses/suppliers.   | Dollars             |            |
|          | EC2  | Additional Annual<br>Turnover (\$/year)<br>( <b>Mandatory</b> ) | Estimate of the additional annual turnover that will result from this project.   | Dollars             |            |
| Economic | EC5  | Other cash contributed to the project (\$) Mandatory)           | This measure reports the total of cash contributions that are directly made to this project but come from sources other than the Trust, such as partners, community groups, donors, grantee organisation, etc. This information should come from your project budget.  | Dollars             |            |
| Ш        | EC6  | Total amount of inkind support contributed (\$) Mandatory       | This measure captures the other support made to this project by way of in-kind contributions, it could include non-Trust funded salary equivalents, services, materials, venue access, vehicles use, etc. This information should come from your project budget.   | Dollars             |            |
|          | EC7  | Ongoing employment<br>Mandatory                                 | Estimate of how many new ongoing jobs will be created as a result of the project.  | Dollars             |            |

#### Criterion 6: Value for money and economic benefit

This section requires completion of Part B, Part E, and for projects valued over \$1 million, Part F of the application submission.

Note: You must use the down-loadable forms and submit as Excel spreadsheets. DO NOT PDF.

#### Application budget

- The application budget (Part B) is an Excel spreadsheet that can be downloaded from the website.
- The budget form must be completed and submitted with the application form.
- DO NOT PDF the budget form must be submitted in EXCEL format only.
- 40 Complete the financial analysis template for all projects.

Submit your completed financial analysis with your application. This form can be downloaded from the website.

If your total project value is over \$1 million (regardless of the value of the grant requested), you must also complete the cost benefit analysis template.

Submit your completed cost-benefit analysis if applicable. The cost-benefit analysis must comply with the NSW Treasury Guidelines for economic analysis and include calculations for the list of non-market values, included in the template provided, to be considered eligible:

- Identify all costs (capital, operating, maintenance, provision for contingencies).
- Identify the benefits (e.g. avoided costs, savings, or revenue from sale of recovered materials).
- Identify and quantify the non-market values.
- Assess net benefits (using the discounted stream of costs and benefits based on NSW Treasury Guidelines), and include data on:
  - net present value with and without grant funding
  - benefit cost ratio
  - internal rate of return (percentage)
  - dollars of grant funding per tonne of additional material recycled
- Sensitivity testing (analyse option under different scenarios and discount rates).
- Document all references to data sources and assumptions.

For further guidance on conducting a cost benefit analysis, applicants should refer to NSW Treasury's NSW Government Guidelines for Economic Appraisal and consider applying for the EPA Business Case support. Please see page 8 of the guidelines.

| 42 | Please provide information as to why this project would not go ahead without funding from this program? Explain any barriers this funding will help overcome. |
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| 43      | To support your explain what steps have been |                       | cate the simple payback period with and without funding and e.   |
|---------|--|-----------------------|--|
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|         |  |                       |  |
| 4.4     | If this president is most of                 | ivova ovenejention    | la la man tama along la consuill for ding from this are grown  |
| 44      | accelerate its impleme                       |                       | 's longer term plans, how will funding from this program   |
|         |  |                       |  |
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|         |  |                       |  |
|         |  |                       |  |
|         |  |                       |  |
| 45      | Detail the operational                       | life-span of the infr | astructure and equipment.  |
|         |  |                       |  |
|         |  |                       |  |
|         |  |                       |  |
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|         |  |                       |  |
|         |  |                       |  |
| 46      | Funding from other so                        | urces.                |  |
|         |  |                       | olicant and partners listed in this application) have/will receive state or commonwealth agencies have/will issue. |
| Note    | : This grant will fund a agreed commitments  |                       | will not fund work that would have been undertaken as part of ams run by partners.                                 |
| Sou     | urce of any other grants                     | Amount \$             | Describe the relationship to this project  |
|         |  |                       |  |
|         |  |                       |  |
|         |  |                       |  |
| <b></b> |  | ļ                     |  |

## Criterion 7: Demonstrated ability to deliver the project to a high standard

#### Project plan including risk management

This part of the application form is a separate Word document and can be downloaded from our website. Please complete the Part C document and submit with your entire application - DO NOT PDF.

Does your organisation have formal management systems for quality, environmental management and/or work health and safety?

| Management System   | System in place and internally audited |               | place and ntly audited | System in place an audited/ certified ISO14001, AS4 | I to ISO9001, |  |  |  |
|---|--|---------------|------------------------|---|---------------|--|--|--|
| Quality   |  |               |                        |   |               |  |  |  |
| Environmental Management  |  |               |                        |   |               |  |  |  |
| Work Health Safety (WHS)  |  |               |                        |   |               |  |  |  |
| Describe the management systems you have in place and how they are reviewed and improved.   |  |               |                        |   |               |  |  |  |
|   |  |               |                        |   |               |  |  |  |
| 48 Organisational struc   | ture (applicant organisat              | ion or lead o | organisatior           | n of alliance/partners                              | ships).       |  |  |  |
|   | Name                                   |               |                        | Position title                                      |               |  |  |  |
|   |  |               |                        |   |               |  |  |  |
| Management structure details:   |  |               |                        |   |               |  |  |  |
| Please provide details of key individuals involved in   |  |               |                        |   |               |  |  |  |
| the project. Attach CVs to the back of the application  |  |               |                        |   |               |  |  |  |
| (no more than 2 pages per person).  |  |               |                        |   |               |  |  |  |
|   |  |               |                        |   |               |  |  |  |
| Number of years trading   |  | Years un      | der current r          | nanagement  |               |  |  |  |
|   | Years under current management         |               |                        |   |               |  |  |  |
| Full-time employees Part-time or casual employees   |  |               |                        |   |               |  |  |  |
|   |  |               |                        |   |               |  |  |  |
| Other supporting information  |  |               |                        |   |               |  |  |  |
| 49 Please declare any real, potential or perceived conflict of interest that you may be aware of. This can include land ownership, equipment supply, salary and/or contractor payments. |  |               |                        |   |               |  |  |  |
|   |  |               |                        |   |               |  |  |  |
|   |  |               |                        |   |               |  |  |  |
|   |  |               |                        |   |               |  |  |  |
|   |  |               |                        |   |               |  |  |  |

# 50 Third Party Assistance. List all parties who have contributed to the submission of this application. Name of third party Aspect of application and costs Type of assistance 51 Business case support. Did you access the EPAs Business Case Support Program? See page 8 of the guidelines for information about how to access Business Case Support Yes, go to Question 51 No 52 What type of business case support did you receive? Tick all that apply. Market analysis Technical analysis Financial analysis Cost benefit analysis Planning and licensing analysis Project planning

## **Authorisations**

#### **APPLICANT**

Include the names of two office-bearers in your organisation (e.g. General Manager, Chairperson, Managing Director, Treasurer, Chief Executive Officer or Executive Officer) who are able to attest to the accuracy of the information within the application. No signature is required at this stage.

#### What happens if I supply false or misleading information?

Applicants must certify that all of the information in the application is true and correct. Please note that if applicants supply information as part of the application that is false or misleading in a material particular, the application will not be considered OR, if the grant is made and it is discovered that information supplied was false or misleading in a material particular, the grant will be revoked and funds, plus interest, must be repaid. An assessment regarding possible fraud will also be undertaken and appropriate legal action initiated if warranted.

| Name           |        |      |  |
|----------------|--------|------|--|
| Title/position |        |      |  |
| Organisation   |        |      |  |
| Email          |        |      |  |
| Phone          | Mobile | Date |  |
| Name           |        |      |  |
| Title/position |        |      |  |
| Organisation   |        |      |  |
| Email          |        |      |  |
| Phone          | Mobile | Date |  |

# Enquiries

**NSW Environmental Trust** 

Telephone: (02) 8837 6093

Email: waste.recycling@environmentaltrust.nsw.gov.au

**NSW Environment Protection Authority** 

Telephone (02) 9995 6890

Email: infrastructure.grants@epa.nsw.gov.au

# Feedback

Please provide some basic feedback on your experience applying to the Resource Recovery Facility Expansion and Enhancement grant program. All feedback will be collated to provide an overall picture and used to assist development of future Environmental Trust documentation.

| 1. | 1. Time taken to develop your project for this application (including negotiation with collaborators). |          |             |             |  |         |           |  |     |                |  |
|----|--|----------|-------------|-------------|--|---------|-----------|--|-----|----------------|--|
|    | Less than 3 days   |          | 3 – 5 da    | ays         |  | 5 – 7 d | ays       |  | Mor | e than 7 days  |  |
| 2. | 2. Time taken to complete the Trust application form.  |          |             |             |  |         |           |  |     |                |  |
|    | Less than 1 day  |          | 1 – 2 da    | ays         |  | 2 – 3 d | ays       |  | Mor | e than 3 days  |  |
| 3. | 3. Ease of completing the application.   |          |             |             |  |         |           |  |     |                |  |
|    | Very easy  | Easy     |             | Moderate    |  |         | Difficult |  |     | Very difficult |  |
| 4. | Contact with the Tru   | ust and  | EPA (all t  | hat apply). |  |         |           |  |     |                |  |
|    | EPA Other (please specif   | □<br>fy) | Trust       |             |  | Webina  | ar        |  | Wor | kshops         |  |
| 5. | Where did you hear   | about    | this progra | am?         |  |         |           |  |     |                |  |
|    |  |          |             |             |  |         |           |  |     |                |  |
| 6. | Any other comment  | s or su  | ggestions.  |             |  |         |           |  |     |                |  |
|    |  |          |             |             |  |         |           |  |     |                |  |
|    |  |          |             |             |  |         |           |  |     |                |  |

# Application submission

It is recommended that you read all sections of the Application Guidelines, particularly those sections covering the objectives of the program, eligibility, assessment criteria and GST. Before submitting your application, please refer to the submission process set-out below.

Applicants **must adhere to the naming instructions** for submitting their application documents (please refer to Document naming below).

- Answer all questions in Part A Application Form.
- Type only in the spaces provided in the application form. Answer boxes are a set size, and will not expand to accommodate additional text.
- Application form must be submitted as a PDF smart form (see instructions in the form and on the web page).
- Application budget must be submitted as an Excel document. DO NOT PDF
- Waste tonnages forecast must be submitted as an Excel document. DO NOT PDF
- Project Plan form: must be submitted as a Word document. DO NOT PDF
- Cost Benefit Analysis and Financial Analysis must be submitted using the Excel templates provided. DO NOT PDF
- Have your application authorised by the appropriately authorised people.
- Attach all required supporting information. Additional information should be kept to a minimum. If your application
  refers to a large document, only include the relevant pages of that document i.e. title page, executive summary, relevant
  pages. If submitting a PDF, do not lock this document. If locked, it is unable to be merged into the review package.
- Submit your entire application by ONE of the methods below DO NOT Email AND post.
   Note: Email is the preferred option. Posted USB applications must be received on or before the closing date.
- Do not fax any part of your application.
- Do not ZIP your application documents. ZIP files cannot be accepted by the Trust.
- Email subject line format must be: Organisation Name RRFEE Round 4 Application.
- One application per email. If more than one, number accordingly. i.e. XYZ Company RRFEE Round 4 Application 1.
- If your application exceeds 10MB, submit your application in a series of emails. Submit the application forms in one, and your attachments in another. Please use the following subject line for your email: Organisation Name RRFEE Application Email 1 of 2, and Organisation Name RRFEE Application Email 2 of 2.
- Submit your application form and all other documents by the closing date 5pm Thursday 9 February 2017.

| Email to:       | waste.recycling@environmentaltrust.nsw.gov.au                | • | Ensure<br>attachm<br><b>Note</b> : | you email your entire application, including all nents.  Emailed applications cannot exceed <b>10MB</b> including all attachments.  If the files exceed 10MB please submit using the One Drive option or submit as a series of emails. |
|-----------------|--|---|------------------------------------|--|
| Post<br>USB to: | NSW Environmental Trust<br>PO Box 644<br>PARRAMATTA NSW 2124 | • | submit a posting a                 | your application exceeds 10MB, you may all your forms and supporting documents by a USB. ies will not be accepted.   |

#### Document naming

Application Form 01 Grant Application

Application Budget 02 Grant Application Budget

Project Plan
 03 Project Plan

Waste Tonnage Forecast
 Financial Analysis
 Cost Benefit Analysis
 04 Tonnage Forecast
 05 Financial Analysis
 06 Cost Benefit Analysis

Any application that is late, incomplete or ineligible will not be considered.